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Dated: November 9, 2004

Signature:

*Robert B. Cohen*  
(Robert B. Cohen)

Docket No.: SONYJP 3.0-190  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Takumi Okaue

Application No.: 09/911,042

Group Art Unit: 2131

Filed: July 23, 2001

Examiner: Not Yet  
Assigned

For DATA PROCESSING SYSTEM, DATA  
PROCESSING METHOD, DATA  
PROCESSING APPARATUS, LICENSE  
SYSTEM, AND PROGRAM PROVIDING  
MEDIUM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The cited references were listed on a search report issued in connection with applicant's corresponding European application. A copy of the search report and a copy of each reference are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

Application No.: 09/911,042

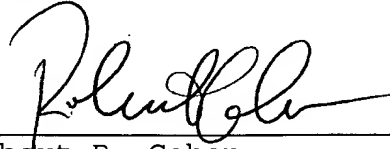
Docket No.: SONYJP 3.0-190

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: November 9, 2004

Respectfully submitted,

By



Robert B. Cohen

Registration No.: 32,768

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LD-458\



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**CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E) (1)**

Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: November 9, 2004

Respectfully submitted,

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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/911,042
				Filing Date	July 23, 2001
				First Named Inventor	Takumi Okaue
				Art Unit	2131
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.0-190

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	6,522,607-B1	02-18-2003	Ishibashi et al.	
	AB	6,049,878-A	04-11-2000	Caronni et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	JP-2000-113587-A	04-21-00	Sony Corporation		1A
	BB	JP-11-187013-A	07-09-1999	IBM Japan Ltd		**
	BC	EP-0 994 475-A1	04-19-2000	Matsushita Electric Industrial Co., Ltd.		✓
	BD	DE-195 11 298-A1	10-02-1996	Deutsche Telecom AG		**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	CA	WALDVOGEL , M. ET AL., "The VersaKey Framework: Versatile Group Key Management", IEEE Journal on Selected Areas in Communications, September 1999, Vol. 17, No. 9, pp. 1614-1631.	✓	
	CB	WONG, C.K. ET AL., "Secure Group Communications Using Key Graphs", IEEE/ACM Transactions on Networking, February 2000, Vol. 8, No. 1, pp. 16-30.	✓	
	CC	WALLNER, D. ET AL., "Key Management for Multicast: Issues and Architectures", IETF Request for Comments, The Internet Society, June 1999, pp. 1-23.	✓	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

\*\* - with English abstract only.

1A - U.S. Patent No. 6,522,607 is an English counterpart.

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Examiner Signature		Date Considered	
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